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**TRANSMITTAL  
FORM**

be used for all correspondence after initial filing)

Application Number

09/030,985

Filing Date

February 26, 1998

First Named Inventor

Louis D. Fallo, Jr.

Group Art Unit

1643

Examiner Name

n/a

Total Number of Pages in This Submission

13

Attorney Docket Number

214001-00648

**ENCLOSURES (check all that apply)**☒ Fee Transmittal Form☒ Fee Attached☐ Amendment/Response☐ After Final☐ Affidavits/declaration(s)☒ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☒ Response to Missing Parts/Incomplete Application☐ Response to Missing Parts under  
37 CFR 1.52 or 1.53☐ Assignment Papers  
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69) and  
Accompanying Petition☐ To Convert a Provisional Application☐ Power of Attorney, Revocation Change of  
Correspondence Address☐ Terminal Disclaimer☒ Small Entity Statement☐ Request for Refund

Remarks

☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals  
and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Additional Enclosure(s)  
(please identify below):

- Declaration for Utility  
Patent Appln. (Fallo)
- Declaration for Utility  
Patent Appln. (Celluzzi)

- Letter in Response to  
Notice to File Missing Parts

- Return copy of Form  
PTO-1533

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual nameDiane R. Meyers  
Eckert Seamans Cherin & Mellott, LLC

Signature

Date

September 18, 1998

**CERTIFICATE OF MAILING**I hereby certify that this correspondence is being deposited with the United States Postal Service as first class  
mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

9/18/98

Typed or printed name

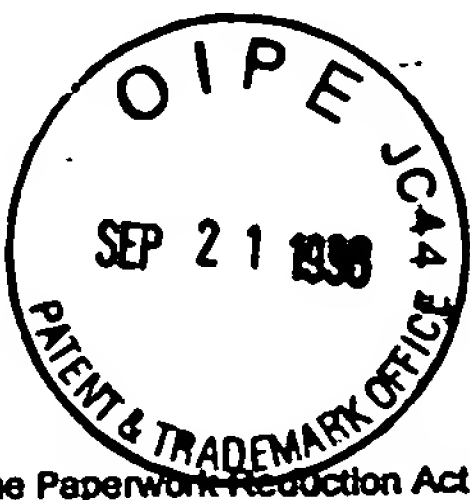
Diane R. Meyers

Signature

Date

9/18/98

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (12-97)  
Approved for use through 8/30/00. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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## FEE TRANSMITTAL

Note: Effective October 1, 1997.  
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 959.00

### Complete If Known

Application Number	09/030,985
Filing Date	February 26, 1998
First Named Inventor	Louis D. Falco, Jr.
Group Art Unit	1643
Examiner Name	n/a
Attorney Docket Number	214001-00648

### METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 02-2556  
Deposit Account Name: Arnold B. Silverman  
☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 790	201 395	Utility filing fee	395
106 330	206 165	Design filing fee	---
107 540	207 270	Plant filing fee	---
108 790	208 395	Reissue filing fee	---
114 150	214 75	Provisional filing fee	---
SUBTOTAL (1) (\$)			395

#### 2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
36	-20 = 16	X 11 =	176
Independent Claims	6	- 3 = 3	X 41 = 123
Multiple Dependent Claims	---	X --- =	---

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 22	203 11	Claims in excess of 20
102 82	202 41	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim
109 82	209 41	Reissue independent claims over original patent
110 22	210 11	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 299

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	---
139 130	139 130	Non-English specification	---
147 2,520	147 2,520	For filing a request for reexamination	---
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	---
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	---
115 110	215 55	Extension for reply within first month	---
116 400	216 200	Extension for reply within second month	200
117 950	217 475	Extension for reply within third month	---
118 1,510	218 755	Extension for reply within fourth month	---
128 2,060	228 1,030	Extension for reply within fifth month	---
119 310	219 155	Notice of Appeal	---
120 310	220 155	Filing a brief in support of an appeal	---
121 270	221 135	Request for oral hearing	---
138 1,510	138 1,510	Petition to institute a public use proceeding	---
140 110	240 55	Petition to revive - unavoidable	---
141 1,320	241 660	Petition to revive - unintentional	---
142 1,320	242 660	Utility issue fee (or reissue)	---
143 450	243 225	Design issue fee	---
144 670	244 335	Plant issue fee	---
122 130	122 130	Petitions to the Commissioner	---
123 50	123 50	Petitions related to provisional applications	---
126 240	126 240	Submission of Information Disclosure Stmt	---
581 40	581 40	Recording each patent assignment per property (times number of properties)	---
146 790	246 395	Filing a submission after final rejection (37 CFR 1.129(a))	---
149 790	249 395	For each additional invention to be examined (37 CFR 1.129(b))	---

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 265

### SUBMITTED BY

Typed or Printed Name: Diane R. Meyers

Signature:

Date: 9/18/98

### Complete (if applicable)

Reg. Number: 38,968

Deposit Account User ID: 02-2556

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In Re Application of

LOUIS D. FALO, ET AL.

Serial No. 09/030,985

Filed February 26, 1998

: INDUCTION OF TUMOR AND  
: VIRAL IMMUNITY USING  
: ANTIGEN PRESENTING CELL  
: CO-CULTURE PRODUCTS AND  
: FUSION PRODUCTS

: Attorney Docket No. 214001-00648

**LETTER IN RESPONSE TO  
NOTICE TO FILE MISSING PARTS**

#15

September 18, 1998

Assistant Commissioner for Patents  
Washington, D.C. 20231

ATTENTION: Application Processing Division  
Box Missing Parts

Sir:

In response to the enclosed NOTICE TO FILE MISSING PARTS OF APPLICATION -- FILING DATE GRANTED, dated May 19, 1998, enclosed are two executed DECLARATIONS AND POWER OF ATTORNEY (one for Louis D. Falo, Jr. and one for Christina M. Celluzzi) for the captioned case. Also enclosed is a Petition for Two-Month Extension of Time, Fee Transmittal Sheet and our check in the amount of \$959.00 (which includes \$694.00 for the filing fee, \$65.00 surcharge and \$200.00 extension of time fee).

Please charge any additional fees or credit any overpayment to our Deposit Account No. 02-2556. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Diane R. Meyers  
Registration No. 38,968  
Eckert Seamans Cherin & Mellott, LLC  
600 Grant Street, 44th Floor  
Pittsburgh, PA 15219  
Attorney for Applicants

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